### Round-Optimal, Fully Secure Distributed Key Generation

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Work done while at Dfns Labs

## Threshold cryptography

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- Any t+1 parties can jointly perform some cryptographic operation
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Two components of a threshold cryptosystem:

- 1 Key distribution, either via a trusted dealer or a distributed key generation (DKG) protocol
- 2 Distributed protocol for signing, decrypting, etc.

- Define security via an appropriate ideal functionality
  - Modular: secure DKG protocols can be composed with arbitrary (secure) threshold protocols
  - Cleaner; security guarantees more clear

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  - Modular: secure DKG protocols can be composed with arbitrary (secure) threshold protocols
  - Cleaner; security guarantees more clear
- Study the round complexity of fully secure DKG in the honest-majority setting (assuming synchrony + broadcast)
  - Lower bound: No one-round protocols (regardless of setup)
  - Upper bound: Several round-optimal protocols with tradeoffs in terms of efficiency, setup, and assumptions

#### Notation

- *n* is the total number of parties
- t is an upper bound on the number of corrupted parties
- $\mathbb{G}$  is a cyclic group of prime order q, with generator g

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### (t+1)-out-of-n Shamir secret sharing

To share  $s \in \mathbb{Z}_q$ :

• Choose  $f_1, \ldots, f_t \leftarrow \mathbb{Z}_q$ ; let  $f(X) = f_t \cdot X^t + \cdots + f_1 \cdot X + s$ 

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- Set *i*th share  $\sigma_i := f(i)$  for  $i = 1, \ldots, n$
- Any t shares reveal nothing about s
- Can recover s from any t+1 shares using polynomial interpolation

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Fix  $C \subset [n]$  with  $|C| \leq t$ . To share  $s \in \mathbb{Z}_q$ :

- Let adversary specify  $\{\sigma_i\}_{i\in\mathcal{C}}$
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- Set *i*th share  $\sigma_i := f(i)$  for  $i \in [n] \setminus \mathcal{C}$
- For any  $\mathcal{C}\subseteq\mathcal{C}'$  with  $|\mathcal{C}'|=t$ , the  $\{\sigma_i\}_{i\in\mathcal{C}'}$  reveal nothing about s

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Distributed protocol for n parties to generate

• Common public key  $y = g^x$ 

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- (t+1)-out-of-n secret sharing  $\sigma_i\}_{i=1}^n$  of the private key x
- Common commitments  $\{g^{\sigma_i}\}_{i=1}^n$  to the parties' shares

 $<sup>^</sup>a$ Assume Shamir secret sharing, but it could also be n-out-of-n additive sharing

### Setup

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Ideally, state suffices for an unbounded (polynomial) number of executions

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### Desired security properties:

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- Secrecy: Corrupted parties should not learn anything about x (beyond what is implied by y)
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- ...

Define security via an ideal functionality in a simulation-based framework

# Ideal functionalities for (dlog-based) DKG

There are multiple ideal functionalities one could consider for DKG (see paper for examples and discussion)

Here: (one possible) ideal functionality for fully secure DKG

# Ideal functionality for fully secure DKG (cf. [Wik04])

(For simplicity, assume  $|\mathcal{C}|=t$ )

$$\mathcal{F}_{\mathsf{DKG}}^{t,n}$$

- ① Receive  $\{\sigma_i\}_{i\in\mathcal{C}}$  from the adversary.
- 2 Choose  $x \leftarrow \mathbb{Z}_q$  and set  $y := g^x$ .
- **③** Let f be the polynomial of degree at most t such that f(0) = x and  $f(i) = \sigma_i$  for  $i \in \mathcal{C}'$ . Set  $\sigma_i := f(i)$  for  $i \in [n] \setminus \mathcal{C}'$ .
- ⑤ For  $i \in [n]$ , send  $(y, \sigma_i, Y)$  to  $P_i$ .

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- $\bullet$  For  $i \in [n]$ , set  $y_i := g^{\sigma_i}$ . Let  $Y := (y_1, \ldots, y_n)$ .
- ⑤ For  $i \in [n]$ , send  $(y, \sigma_i, Y)$  to  $P_i$ .

Impossible to t-securely realize unless t < n/2

### Prior work

Lots of DKG protocols, but very few achieving full security

Most round-efficient (explicit) fully secure DKG protocol:

6 rounds [GJKR07]

Based on generic (honest-majority) MPC [GLS15, G+21, D+21]:

- 3 rounds with a CRS; 2 rounds with a CRS + PKI
  - complex / impractical / based on strong cryptographic assumptions

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Lower bounds on round complexity of MPC with guaranteed output delivery do not apply here

## Impossibility result

Fully secure DKG is impossible in one round, regardless of prior setup

- Even without robustness
- Even tolerating only a single corrupted party

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# Impossibility result

A DKG protocol is statistically unbiased if an honest execution yields a (close to) uniform key

#### **Theorem**

There is no 1-round, statistically unbiased DKG protocol that 1-securely realizes  $\mathcal{F}_{DKG}^{t,n}$ , regardless of setup.

## Main idea of proof:

Some party has the ability to bias the key using rushing

## More formally (assume 1-bit key):

- Consider the following attack by  $P_i$  biasing to b:
  - Receive messages from other parties; compute key that would be output if it runs the protocol honestly using r;
    If output is h run protocol honestly using r: otherwise sample fresh r
  - If output is b, run protocol honestly using  $r_i$ ; otherwise, sample fresh  $r'_i$  and run protocol honestly using  $r'_i$

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- Consider the following attack by  $P_i$  biasing to b:
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  - If output is b, run protocol honestly using  $r_i$ ; otherwise, sample fresh  $r'_i$  and run protocol honestly using  $r'_i$
- Possible to prove that for some i and b, this strategy noticeably biases the output toward b

# Two-round protocols?

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Note we assume a rushing adversary . . .

# Natural strategy

#### Protocol

- Parties commit to shares
- Parties decommit their shares

#### Simulation

- Simulator extracts shares of corrupted parties
- Corrupted parties open to extracted values; (simulated) honest parties force output to desired value

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Parties commit to shares

Parties decommit their shares

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- Simulator extracts shares of corrupted parties
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Problem: Some corrupted parties can abort in the second round...

Intuitively, need protocols with the following properties:

• Key is determined at the end of the first round (regardless of which corrupted parties abort in the second round)...

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- Key is determined at the end of the first round (regardless of which corrupted parties abort in the second round)...
- but the adversary cannot compute they key at the end of the first round (or else impossibility result kicks in)!

Setup	Rounds	Assumptions
CRS + PKI	2	NIZK + PKE
CRS	2	NIZK + MP-NIKE
ROM + 1-round preprocessing	2	(none)

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Fully secure\* DKG is impossible in one round (regardless of prior setup)

\* Impossibility only holds for statistically unbiased protocols; the 1-round protocol we show is only computationally unbiased

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Complexity polynomial in n

Serves as a good warm-up for other protocols

## Starting point:

- Each  $P_i$  chooses chooses uniform  $x_i$  and uses Shamir secret sharing to share  $x_i$  with other parties
- Parties sum shares to obtain shares of  $x = \sum_{i} x_{i}$

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#### Problem:

- Corrupted parties can send inconsistent shares!
- Standard techniques for dealing with this require at least 3 rounds

Idea: assume a CRS, and use NIZK proofs to force correct behavior

- Note: useless for proving correctness of values sent by private channels
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#### Problem:

- This does not prevent bias
- Even if parties commit to  $x_i$  before sharing it, corrupted parties can still introduce bias by aborting

Idea: can overcome aborts if all parties learn shares of  $x_i$  in round 1

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For simulation, need the ability for honest parties to equivocate in round 2

Can do using a second round of NIZK proofs

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Round 2: Each  $P_i$  recovers its shares from GOOD parties, adds them to get  $\sigma_i$ , broadcasts  $y_i := g^{\sigma_i}$ , and gives an NIZK proof of correct behavior

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Output: Interpolate non-ignored  $\{y_i\}$  in the exponent to obtain public key  $y = g^x$ , where  $x = \sum_{i \in GOOD} x_i$ 

### Notes

Key insight: for any  $i \in GOOD$ , the honest parties have enough information to recover  $P_i$ 's contribution in round 2

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Protocol can be instantiated efficiently using Paillier encryption and efficient NIZK proofs

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1-round preprocessing	2	_
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# Motivation

The PKI was essential in Protocol 1—is it possible to avoid it?

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For simplicity, focus on fully secure coin tossing in the three-party setting

- Can be extended to DKG with a bit of work
- lacksquare Can be extended to *n*-party setting using (t+1)-party NIKE

Intuition: As before, after round 1 the coin should be determined (regardless of what the corrupted party does), but the corrupted party should not be able to compute it

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#### Core idea:

- Round 1: Use 2-party NIKE (i.e., Diffie-Hellman key exchange) to define a coin for each pair of parties
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  - For each pair of parties, at least one is honest!
  - There is one pair of parties that is entirely honest

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Avoids NIZK; very efficient for moderate t, n

#### Notation

Let  $\mathbb{S}_{n-t,n}$  be the collection of all subsets of [n] of size n-t

For  $S\in \mathbb{S}_{n-t,n}$ , let  $Z_S\in \mathbb{Z}_q[X]$  be the degree-t polynomial with  $Z_S(0)=1$  and  $Z_S(i)=0$  for  $i\in [n]\setminus S$ 

Let  $F : \{0,1\}^{\kappa} imes \{0,1\}^{\ell} o \mathbb{Z}_q$  be a pseudorandom function

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Assume for all  $S \in \mathbb{S}_{n-t,n}$  and all  $i \in S$ , party  $P_i$  holds  $k_S \in \{0,1\}^{\kappa}$ 

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Given a nonce  $N \in \{0,1\}^{\ell}$ , each party  $P_i$  can compute the share

$$\sigma_i := \sum_{S \in \mathbb{S}_{n-t,n} : i \in S} F_{k_S}(N) \cdot Z_S(i)$$

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$$\sigma_i := \sum_{S \in \mathbb{S}_{n-t,n} : i \in S} F_{k_S}(N) \cdot Z_S(i)$$

This is a (t+1)-out-of-n Shamir secret sharing of

$$x_N = \sum_{S \in \mathbb{S}_{n-t,n}} F_{k_S}(N) \cdot Z_S(0) = \sum_{S \in \mathbb{S}_{n-t,n}} F_{k_S}(N)$$

# **DKG from PRSS**

PRSS implies a one-round (semi-honest) DKG protocol:

- ullet For each set  $S\in \mathbb{S}_{n-t,n}$ , a designated party broadcasts  $\hat{y}_S:=g^{F_{k_S}(N)}$
- Parties compute public key  $y = g^{x_N}$  from the  $\{\hat{y}_S\}$

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#### Problems:

- Corrupted party may broadcast incorrect  $\hat{y}_S$ 
  - Even if multiple parties in S broadcast  $\hat{y}_S$ , other parties don't know which value is correct
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  - Even if multiple parties in S broadcast  $\hat{y}_S$ , other parties don't know which value is correct
  - Don't want to rely on NIZK
- PRSS assumes a trusted dealer, which we want to avoid

## Protocol 3

Round 1: All parties in S broadcast a "deterministic commitment" to  $\hat{y}_S$  (namely,  $h_s := H(\hat{y}_S)$ )

• If there is disagreement, ignore S (equivalent to treating  $F_{k_S}(N)=0$ ,  $\hat{y}_S=1=g^0$ )

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Round 2: All parties in S reveal  $\hat{y}_S$ 

Incorrect preimages of h<sub>s</sub> ignored

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Incorrect preimages of h<sub>s</sub> ignored

Parties compute public key  $y = g^{x_N}$  from the  $\{\hat{y}_S\}$ 

No longer any need for a trusted dealer—a designated party in each set S can simply distribute  $k_S$  in a preprocessing phase!

Note: we do not assume correct behavior during preprocessing

## A fully secure DKG protocol

#### **Theorem**

Let F be a pseudorandom function, and model H as a random oracle. Then for t < n/2 this protocol t-securely realizes  $\mathcal{F}_{DKG}^{t,n}$ .

A small modification to the protocol achieves adaptive security (assuming secure erasure)

## Proof intuition

#### Useful observations:

- Every  $S \in \mathbb{S}_{n-t,n}$  contains at least one honest party
- lacktriangle There exists a set  $S_{\mathcal{H}} \in \mathbb{S}_{n-t,n}$  containing only honest parties

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## Robustness/no bias: Fix some $S \in \mathbb{S}_{n-t,n}$ .

- If there is disagreement among the  $\{h_{i,S}\}_{i\in S}$ , then S is excluded
- Otherwise, a preimage  $\hat{y}_S$  for the common value  $h_S$  will be sent in round 2 (since S contains an honest party)
- Moreover, at most one preimage will be sent (by collision resistance)

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Secrecy:  $S_{\mathcal{H}}$  is never excluded, so the pseudorandom contribution  $k_{S_{\mathcal{H}}}$  is always included in the effective private key

## Open questions

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- Some of our protocols have complexity  $O(\binom{n}{t})$ —can this be improved?
- Some of our protocols rely on preprocessing—can this be avoided?
- Is 2-round fully secure DKG in the plain model possible?

# Thank you!

Paper available at https://eprint.iacr.org/2023/1094